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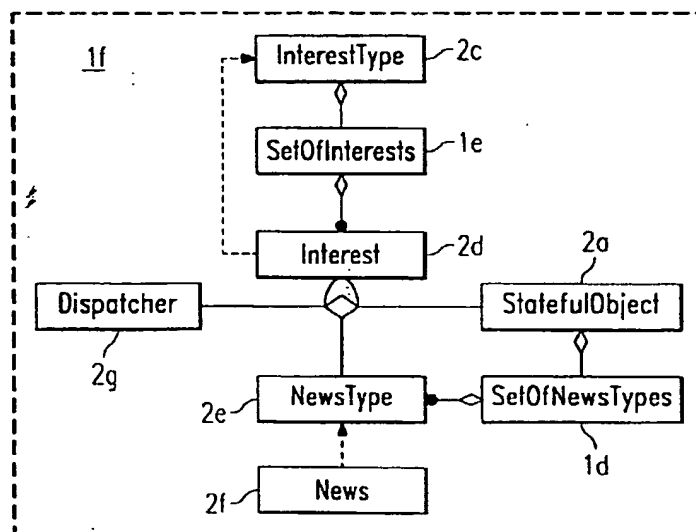
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(54) **Object oriented event notification system**

(57) The present invention is a computer implemented system and method for providing event notification between a first application program object and a second application program object where the event is associated with a transition of the second application program object. The invention includes a stateful object (2a) for providing a set of news types (1d) associated with the event and an interest object (2d) for generating a set of interests (1e), each of the interests associating the first application program object with one of the news types. The stateful object (2a) is also for generating, in

response to the transition of the second application program object, a news object (2f), the news object describing the event associated with the transition of the second application program object. The system also includes a dispatcher (2g) for dispatching the news object to the first application program object in accordance with the interest of the first application program object in the news type associated with the event described by the news object (2f) thereby providing notification of the event to the first application program object.



**FIG. 2**

## Description

### TECHNICAL FIELD OF THE INVENTION

The present invention relates to computers, and more particularly to a method and system for providing event notification between software application program objects associated with a computer.

### BACKGROUND OF THE INVENTION

Most computer implemented software systems include a plurality of applications, each programmed to perform a specific function. An application is composed of several programs which in turn are composed of several modules or objects. In general, each of the program objects must, at one time or another, communicate information to another program object regarding events which occur within or under control of the object.

For general reference see Comer, Douglas E and Stevens, David L., "Internetworking with TCP/IP", vol. III Prentice-Hall (1993); "PIPES Platform User's Guide and Reference Manual", PeerLogic, Inc., (1993); "X/Open Transport Interface (XTI)", "X/Open CAE Specification", X/Open Company Limited, (1992); Stevens, W. Richard, "Unix Network Programming", Prentice-Hall, (1990); "Common Programming Interface Communications Reference", Fourth Edition, IBM, (1991); Schmidt, Douglas "Concurrent O-O Network Programming With C++", C++ World, 1994; and Bach, Maurice J., "The Design of the Unix Operating System", Prentice-Hall, (1986).

Various problems exist, however, in managing and controlling these event notifications, especially as the number of intercommunicating objects and as the number of events which need to be communicated increase. These problems are especially acute when the objects are not included within the same application, when the objects are not executing within the same address space or when the objects are not even on the same computer. For example, an object included within a personnel system must provide event notification to another object which is included within an accounting system.

These types of systems can be described in terms of object models, functional models and dynamic models as discussed by James Rumbaugh et al. in the book Object-Oriented Modeling and Design published in 1991 by Prentice-Hall (the "OOMD"). According to the book OOMD, an object model of a system describes the object types which comprise the system and also shows the relationships between the object types. A functional model of the system shows the processes and data structures of the system and the flow of data there between but does not indicate the sequence of processing. The dynamic model of the system does show the sequence of processing of the system. That sequencing is shown primarily as transitions of the object types from

one state to another.

The object types used to describe the system using the methodology set forth in the book OOMD include associated data structures and behaviors (or operations). Instantiations of an object type are referred to as objects or object instances. Instantiations of the data structures and behaviors associated with an object type are referred to as attributes and methods, respectively. Execution of the methods associated with a behavior or generation of an event can transition the associated object instances from one state to another. Instantiations of object types, data structures and behaviors occur, in general, when an application requests services from the system.

Thus, what is needed is a method and system for providing managed, controlled event notification between a plurality of software application program objects.

### SUMMARY OF THE INVENTION

The present invention is a method and system which provide event notification between a first software application program object and a second software application program object which includes two or more states where the event is associated with a transition of the second application program object from one state to another state.

Accordingly the present invention provides a computer implemented method for providing event notification between a first application program object and a second application program object, comprising, providing a set of news types, each of said news types associated with one of a plurality of event types, each of said plurality of event types describing an event associated with transitions of said second application program object, generating a set of interests, each interest in said set of said interests operable to associate said first application program object with one of said news types, generating, in response to at least one of said transitions of said second application program object, a news object, said news object operable to describe said at least one of said transitions of said second application program object; and dispatching said news object to said first application program object in accordance with said interest of said first application program object in said news type thereby providing notification of said event to said first application program object.

The present invention further provides a computer implemented system for providing event notification between a first application program object and a second application program object, comprising, first means for providing a set of news types, each of said news types associated with one of a plurality of event types, each of said plurality of event types describing an event associated with transitions of said second application program object, second means coupled to said first means for generating a set of interests, each interest in said set of

said interests operable to associate said first application program object with one of said news types, said first means further including means for generating, in response to at least one of said transitions of said second application program object, a news object, said news object operable to describe said event associated with said at least one of said transitions of said second application program object; and third means coupled to said second means for dispatching said news object to said first application program object in accordance with said interest of said first application program object in said news type thereby providing notification of said event to said first application program object.

The invention includes a first means for providing a set of news types associated with one or more event types, each of which describes an event which occurs within or under control of the second application program object during the transition of the second application program object from one state to another state.

The present invention also includes a second means for generating a set of interests, each of which associates the first application program object with one of the news types included in the set of news types.

The first means is also operable to generate, in response to the transition of the second application program object from one state to another, a news object describing the event of one of the event types associated with the transition of the second application program object from one state to another.

The present invention further includes a third means for associating the first application program object with a dispatcher and for dispatching the news object to the first application program object using the dispatcher in accordance with the interest of the first application program object in the news type associated with the event described by the news object thus providing notification of the event to the first application program object.

#### BRIEF DESCRIPTION OF THE DRAWINGS

For a better understanding of the present invention, reference may be made to the accompanying drawings, in which:

Fig. 1 is a generalized block diagram describing one embodiment of the present invention;

Fig. 2 is a generalized object model describing one embodiment of the present invention;

Fig. 3 shows a functional model describing one embodiment of the present invention;

Fig. 4 depicts an object model of a stateful object included in one embodiment of the present invention;

Fig. 5 shows a dynamic model of the stateful object included in one embodiment of the present invention;

Fig. 6 illustrates an object model of a dispatcher

object included in one embodiment of the present invention;

Fig. 7 shows a dynamic model of a callback object which is one implementation of the dispatcher object in one embodiment of the present invention;

Fig. 8 is a dynamic model of a poll object which is another implementation of the dispatcher object in one embodiment of the present invention;

Fig. 9 is a dynamic model of queue processing state included in the dynamic model of the poll object in one embodiment of the present invention;

Fig. 10 is a dynamic model of a cleaning state included in the dynamic model of the poll object in one embodiment of the present invention;

Fig. 11 illustrates a functional model of a wait for news function of the poll object in one embodiment of the present invention;

Fig. 12 illustrates a functional model of a deliver news function of the poll object in one embodiment of the present invention;

Fig. 13 illustrates a functional model of dispatch function of the poll object in one embodiment of the present invention;

Fig. 14 is an object model of a news type object included in one embodiment of the present invention;

Fig. 15 is an object model of a news object included in one embodiment of the present invention;

Fig. 16 depicts a dynamic model of the news type object included in one embodiment of the present invention;

Fig. 17 shows an object model of an interest type object included in one embodiment of the present invention;

Fig. 18 shows an object model of an interest object included in one embodiment of the present invention;

Fig. 19 illustrates a dynamic model of the interest type object included in one embodiment of the present invention;

Fig. 20 illustrates a functional model of the add interest function of the interest type object included in one embodiment of the present invention; and

Fig. 21 shows a functional model of the remove interest function of the interest type object included in one embodiment of the present invention.

#### DETAILED DESCRIPTION OF THE INVENTION

The present invention is a method and system for providing event notification between a first software application program object and a second software application program object. In one embodiment of the present invention, the method and system are implemented using an object oriented language, such as C++, on a digital computer.

Fig. 1 is a block diagram of the present invention. The present invention includes an event notification

framework (ENF) 1b which notifies a first application program object 1a of events of one or more predefined event types which occur within or under control of a second application program object 1c. The ENF 1b notifies the first application program object 1a in accordance with an interest generated by the first application program object 1a in one or more of those event types. In other words, a third application program which has not generated an interest in that event type to which the occurring event belongs would not receive notification of the occurrence of the event. The occurrence of an event, like, for example, the execution of a method, can transition the application program from one state to another.

In one embodiment of the present invention, event types include categories of events which can occur during the operation of a system. Examples of event types include printing events, searching events, storing events, etc. The event types to which the first application program object 1a can express an interest and the representation of those event types vary and are dependent upon the implementation of the second application program object 1b which generates the events. Each event type on which the second application program object 1b will generate external notifications is represented by a corresponding news type stored in the data structure set of news types 1d. The first application program object 1a expresses an interest in an event type on which the second application program object 1b generates external notification by storing an associated interest in the data structure set of interests 1e.

Thus, as shown in Fig. 1, there are two primary data structures associated with the ENF 1b of the present invention. These data structures include a set of interests 1e and a set of news types 1d. In the present invention, a set includes not only information content but also behaviors and attributes associated with the information for manipulating and describing, respectively, the information included within the set.

The interests generated by the first application program object 1a are stored in the data structure set of interests 1e. The data structure set of interests 1e may be implemented dynamically, for example, as a doubly linked list of pointers to files containing the data defining each interest. Each interest stored in the data structure set of interests 1e includes a news type identifier, a stateful object identifier and a dispatcher identifier which identify the event type that the first application program object 1a is interested in, the application program object which generates events of the event type in which the first application program object 1a is interested in, and how the first application program object 1a receives notification of those events, respectively.

Each event which occurs within or under the control of the second application program object 1c is described by an event type which is associated with a news type stored within the data structure set of news types 1d. The event types are defined by the particular implementation and function of the second application program

object 1c. The data structure set of news types 1d may be implemented dynamically, for example, as a doubly linked list of pointers to memory locations which contain the news type information, or, statically, for example, as a header included in source program files.

Fig. 2 shows an object model for the event notification framework (ENF) 1b of the present invention. As shown in Fig. 2, the ENF 1b of the present invention includes a stateful object 2a, a news type object 2e, a news object 2f, an interest type object 2c, an interest object 2d and a dispatcher object 2g.

The stateful object 2a represents any application object which wants to notify some other application object, which may or may not be within the same application, of some event asynchronously to when the event happens. For example, a first application program object, which sends data to a printer, would need to know when a second application program object, which generates the data, has completed processing. In this case, the second application program object is the stateful object 2a. On the other hand, the second application program object, which generates the data to be printed, would need to be notified by the first application program object that the print is done or that some error has occurred which prevents the printing operation to continue, i.e., the print is powered off, off line or out of paper. In this case, the first application program object is the stateful object 2a.

Thus, the ENF 1b of the present invention, as illustrated in Fig. 1, provides the mechanisms for the second application program object 1c to be identified as an application program object having at least two possible states by defining it as an instance of the stateful object 2a, defining each of the possible state transitions as instances of the news type object 2e and to generate instances of the news object 2f in response to the transitions of the stateful object 2a from one of its possible states to another.

The corresponding instance of the news object 2f includes event attributes, defined by the application object, and is dispatched by an instance of the dispatcher object 2g to the first application program object 1a which has generated an interest, stored in the data structure set of interests 1e, in the news type object 1b associated with the event. An object model of the news object 2e is illustrated in Fig. 15.

The ENF 1b of the present invention also provides the mechanisms for the first application program object 1a to receive notification of events of the event types associated with the second application program object 1c by generating an interest object 2d and associating that interest object 2d with an instance of the news type object 2e. An object model of the interest object 2d is shown in Fig. 18.

As illustrated in the functional model in Fig. 3, the first application program object 1a uses an add interest function 3b to add an interest to the data structure set of interests 1e as an instance of the interest object 2d.

A remove interest function 3c is used to terminate notification to the first application program object 1a of the associated event by removing the interest object 2d from the data structure set of interests 1e. Both the add interest 3b and the remove interest 3c functions are behaviors included in the news type object 2e are shown in the object model of the news type object illustrated in Figs. 14 & 15.

As shown in the dynamic model of the news type object 2e illustrated in Fig. 16, the add interest function 3b and the remove interest function 3c in turn initialize correspondingly named behaviors in the interest type object 2c. An object model and dynamic model of the interest type object 2c are illustrated in Figs. 17 and 19, respectively.

When an event occurs in the stateful object 2a, a news object 2f is generated using a new news function 3f. A circulate function 3e finds all interest in the data structure set of interests 1e which are associated with the news type 2e of the generated news object 2f by exhaustively searching the data structure set of interests 1e for the stateful object identifier and news type identifier associated with the news object 2f. The stateful object identifier included in the news object 2f references the instance of the stateful object 2a which generated the news object 2f. The circulate function 3e then provides news object 2f which includes the event information to an instance of the dispatcher object 2g in accordance with the dispatcher identifier included in the interest found in the data structure set of interests 1e. An object and dynamic model of the stateful object 2a are illustrated in Figs. 4 and 5, respectively.

Upon receipt of the news object 2f, a dispatch function 3a dispatches the news object 2f to the first application program object 1a. After the news object 2f is dispatched or if the no interest in the news object 2f was found in the data structure set of interests 1e, the news objects 2f is deleted using the delete news function 3d. An object model of the dispatcher object 2g is shown in Fig. 6. As shown in Fig. 6, the dispatcher object 2g includes a callback object 2a and a poll object 6c representing two types of dispatches available in one embodiment of the present invention.

The callback object 6a is used when the first application program object 1a is to receive news objects 2f as arguments in a function call to a procedure included in the first application program object 1a. When the procedure completes its processing, the news object 2 is deleted. The operation of the callback object 6a is illustrated in more detail in the dynamic model shown in Fig. 7.

The poll object 6c is used to queue news objects 2f so that the first application program object 1a can receive the news objects 2f at a later time. The news objects 2f are queued to the data structure Queue of News 6d. The operation of the poll object 6a is illustrated in more detail in the dynamic models shown in Figs. 8-10 and in the functional models shown in Figs. 11-13.

In another embodiment of the present invention, the

first application program object 1a is itself a dispatcher and thus the first application program object 1a inherits the behaviors associated with the dispatcher object 2g.

Thus, an application can generate an instance of the interest object 2d for a particular news type 2e defined by an instance of the stateful object 2a, which will be dispatched through an instance of the dispatcher object 2g. While this instance of the interest object 2d exists, when the corresponding event occurs within the associated instance of the stateful object 2a, an instance of the news object 2f is created and transmitted to the instance of the dispatcher object 2g referenced by the instance of the interest object 2d. Then, in accordance with the dispatcher object 2g, the instance of the news object 2f is dispatched to the interested first application program object 1a.

A functional model of the add interest function of the interest type object is shown in Fig 20, in which the desired interest is added to the set of interests 1e at stage 20a.

A functional model of the remove interest function of the interest type object is shown in Fig 21. The desired interest is found by the Find Interest function 21a, and then removed from the set of interests 1e by the remove interest function 21b.

## Claims

1. A computer implemented method for providing event notification between a first application program object and a second application program object, comprising;

providing a set of news types, each of said news types associated with one of a plurality of event types, each of said plurality of event types describing an event associated with transitions of said second application program object;

generating a set of interests, each interest in said set of said interests operable to associate said first application program object with one of said news types;

generating, in response to at least one of said transitions of said second application program object, a news object, said news object operable to describe said at least one of said transitions of said second application program object; and

dispatching said news object to said first application program object in accordance with said interest of said first application program object in said news type thereby providing notification of said event to said first application program object.

2. The method in accordance with Claim 1, further

comprising;

said one of said plurality of event types describing a plurality of events associated with a transition of said second application program object from a first state of at least two states to a second state.

3. The method in accordance with Claim 2, further comprising;

generating the news object in response to said transition of said second application program object from said first state to said second state; said news object operable to describe one of said plurality of events associated with said transition of said second application program object from said first state to said second state.

4. The method in accordance with Claims 1-3, further comprising;

removing said interest of said first application program object in said news type from said set of interests thereby terminating said notification to said first application program object of said plurality of events.

5. The method in accordance with Claims 1-4, wherein said dispatching step includes the step of calling a function of said first application program object, said news object included as an argument to said function.

6. The method in accordance with Claims 1-4, wherein said dispatching step includes the steps of:

storing said news object in a queue of news objects; dispatching said news object from said queue of news objects to said first application program object in response to a poll of said queue of news objects by said first application program object; and removing said news object from said queue of news objects in response to said dispatching step.

7. A computer implemented system for providing event notification between a first application program object and a second application program object, comprising:

first means for providing a set of news types, each of said news types associated with one of a plurality of event types, each of said plurality of event types describing an event associated with transitions of said second application pro-

gram object;

second means coupled to said first means for generating a set of interests, each interest in said set of said interests operable to associate said first application program object with one of said news types;

said first means further including means for generating, in response to at least one of said transitions of said second application program object, a news object, said news object operable to describe said event associated with said at least one of said transitions of said second application program object; and

third means coupled to said second means for dispatching said news object to said first application program object in accordance with said interest of said first application program object in said news type thereby providing notification of said event to said first application program object.

8. The system in accordance with Claim 7, wherein said second application program object having at least two states.

9. The system in accordance with Claim 8, wherein each of said event types describes an event associated with transitions of said second application program object from one of said at least two states to another of said at least two states.

10. The system in accordance with Claims 8-9, wherein the first means generates a news object in response to transitions of said second application program object from said one of said at least two states to another of said at least two states.

11. The system in accordance with Claims 7-10, wherein

said second means further includes means for removing said interest of said first application program object in said news type from said set of interests thereby terminating said notification of said event to said first application program object.

12. A system for notification of events, comprising at least processing means and memory means, wherein:

said processing means includes first and second application program objects, wherein said second application program object has more than one state and undergoes events associated with transitions from one state to another; said memory means includes news type store means defining a plurality of news types each

associated with a said transition event; and  
interest store means defining a set of interests  
each associated with the or a said first applica-  
tion program object and corresponding to a said  
news type;

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and said processing means further includes:  
news generator means for recognising a said  
transition event and generating a news object  
of an associated news type; dispatcher means  
for selecting the interests corresponding to the  
news type of that news object and dispatching  
the news object to the or each associated first  
application program object; and interest gener-  
ator means for generating said set of interests.

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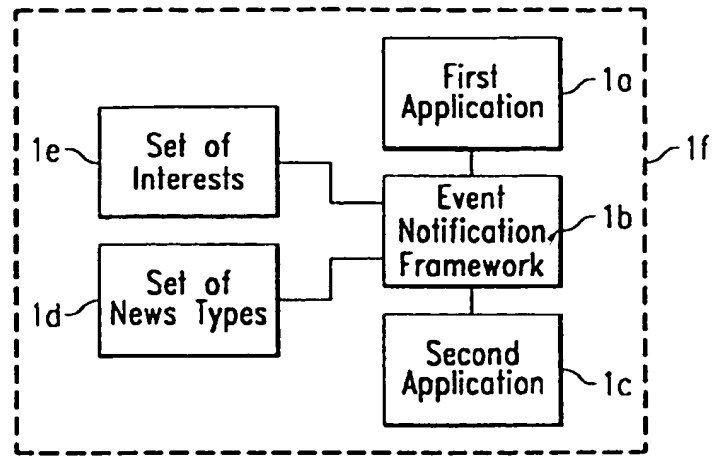


FIG. 1

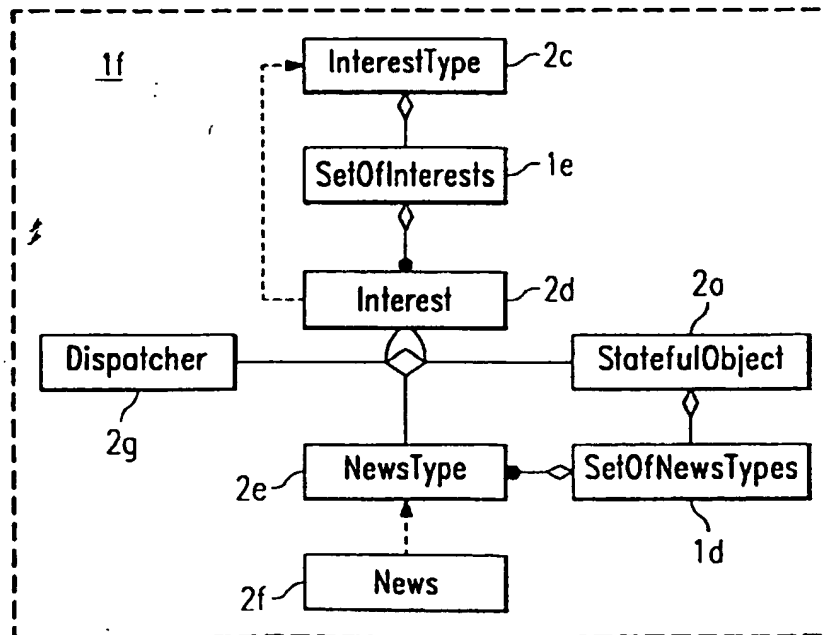


FIG. 2



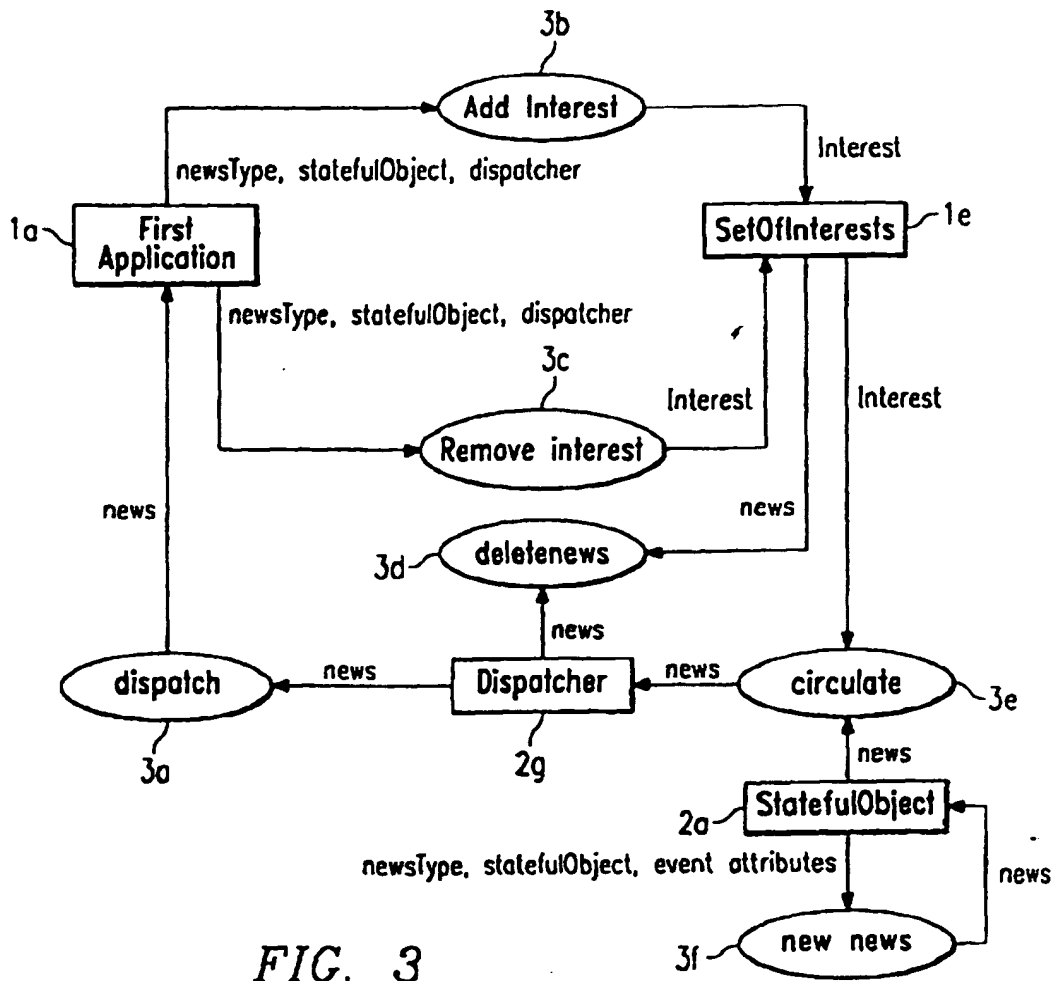


FIG. 3

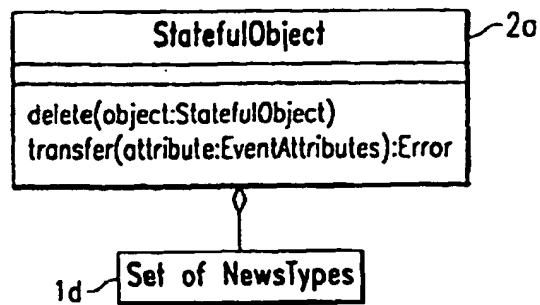


FIG. 4

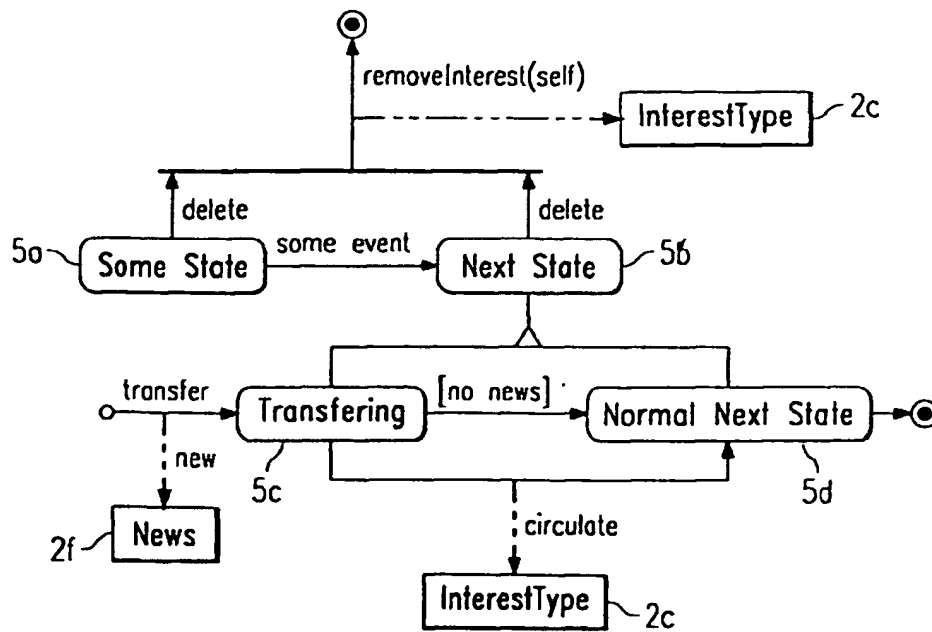


FIG. 5

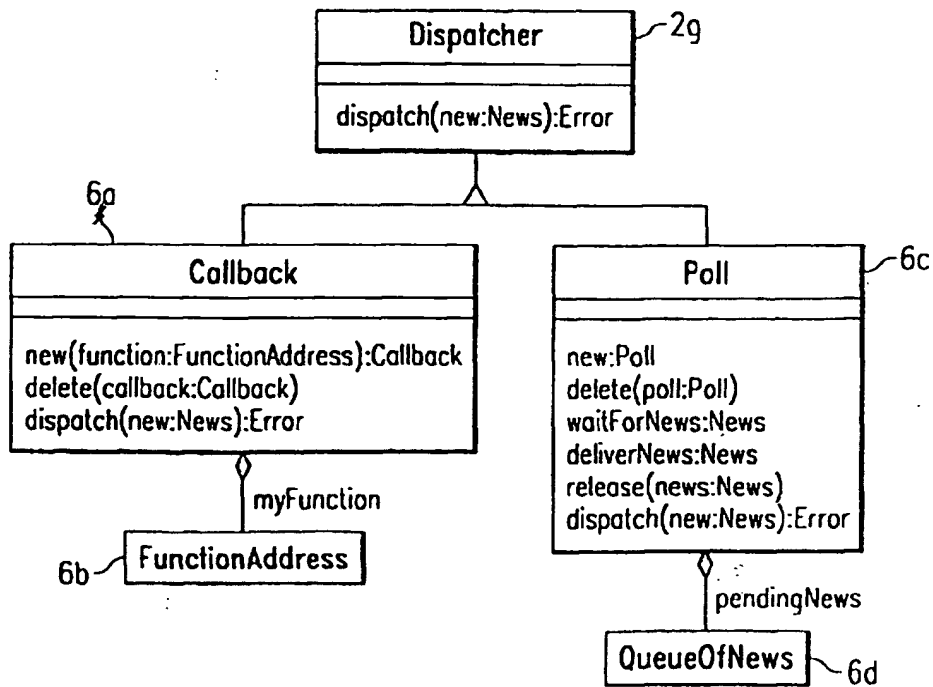


FIG. 6

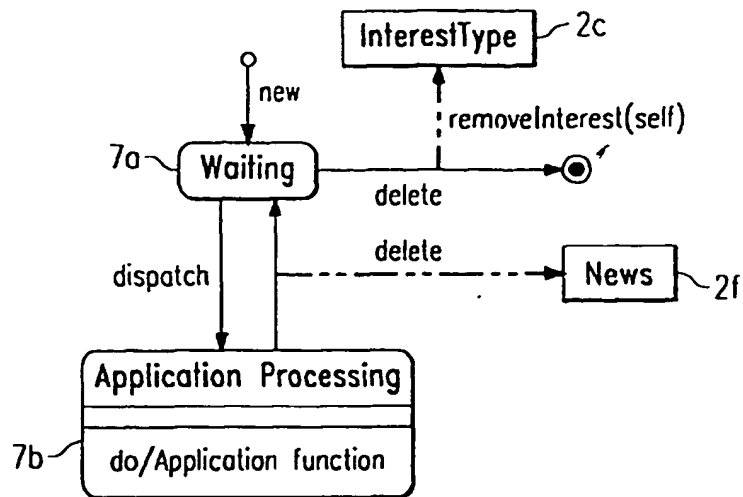


FIG. 7

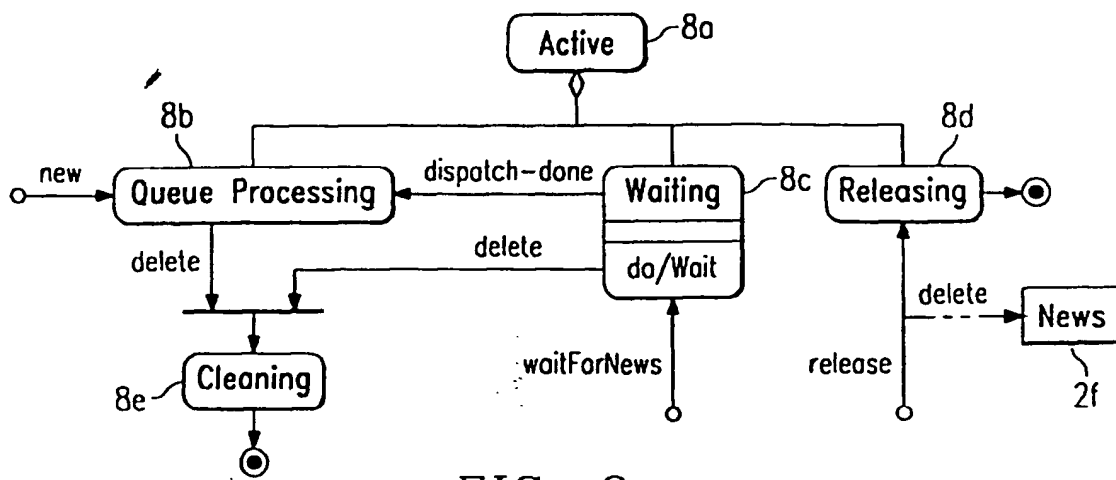


FIG. 8

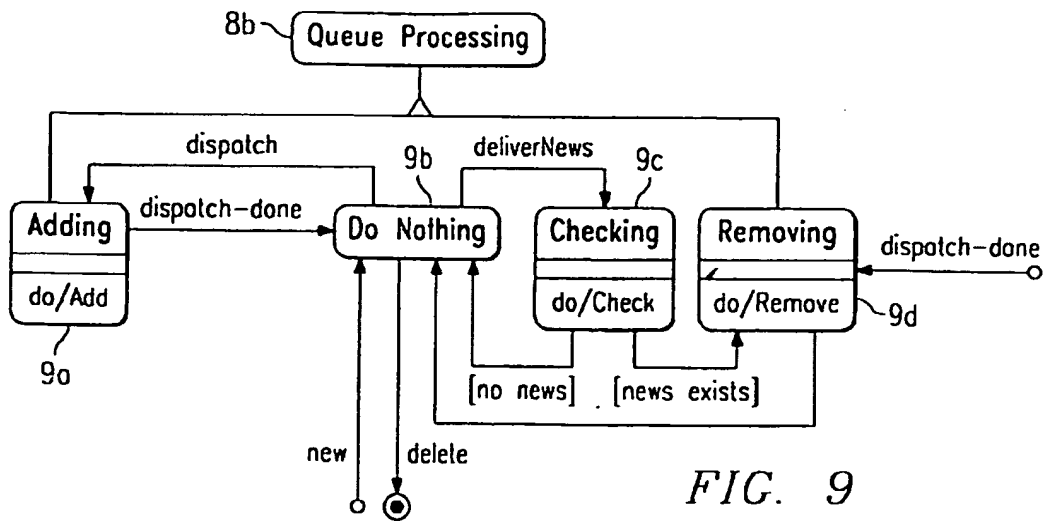
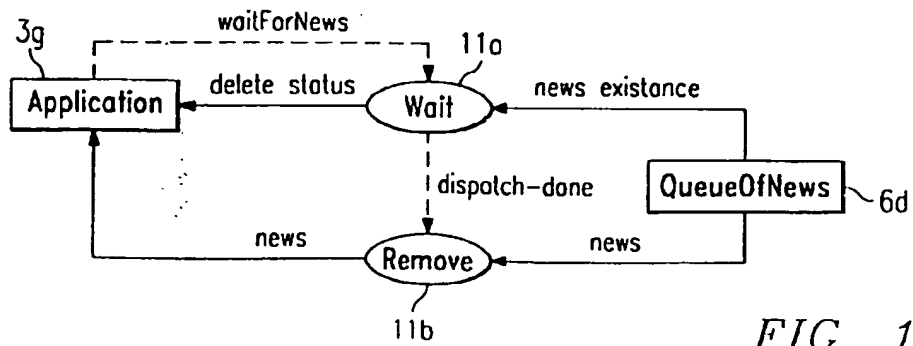
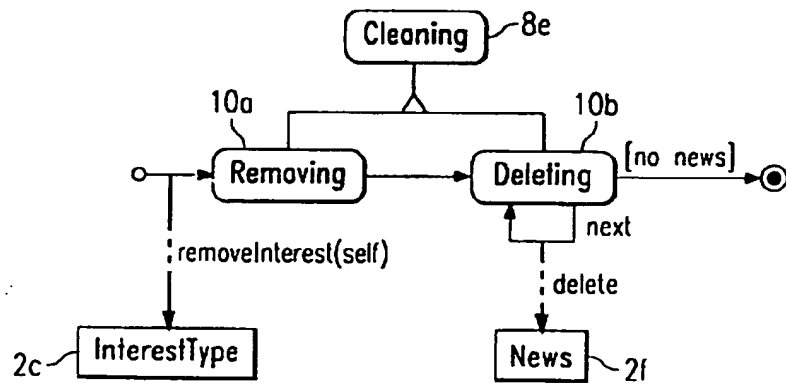
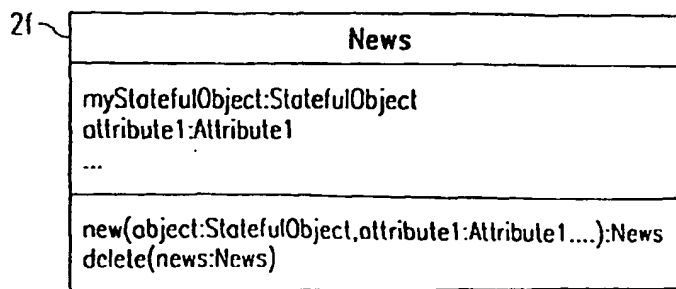
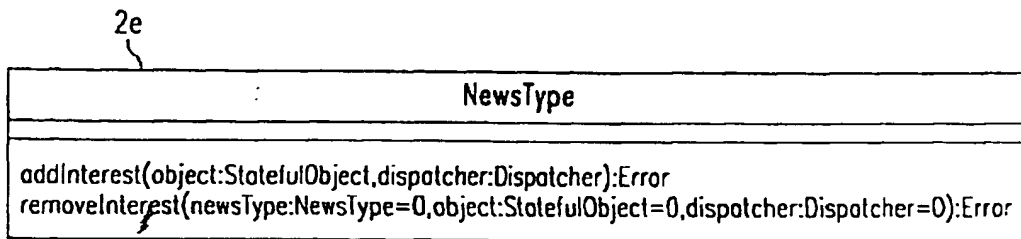
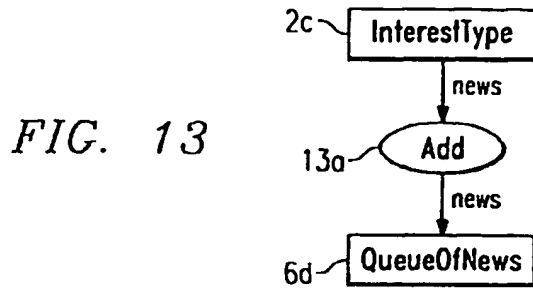
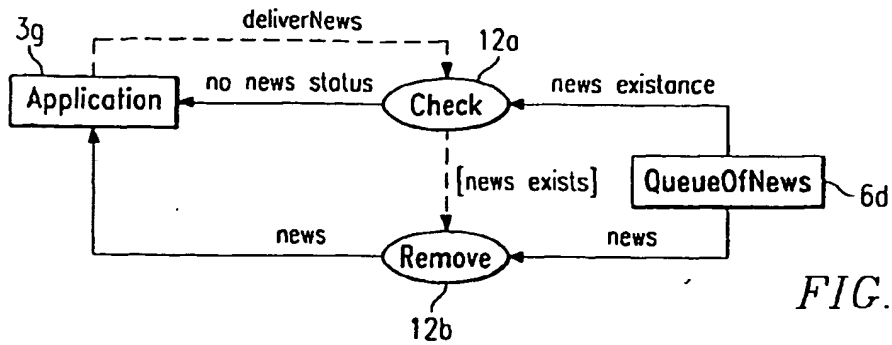


FIG. 10





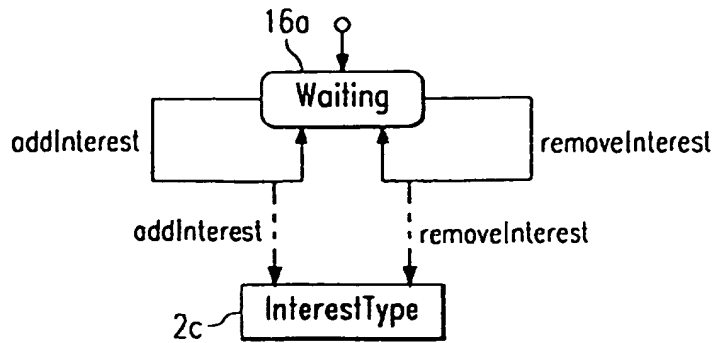


FIG. 16

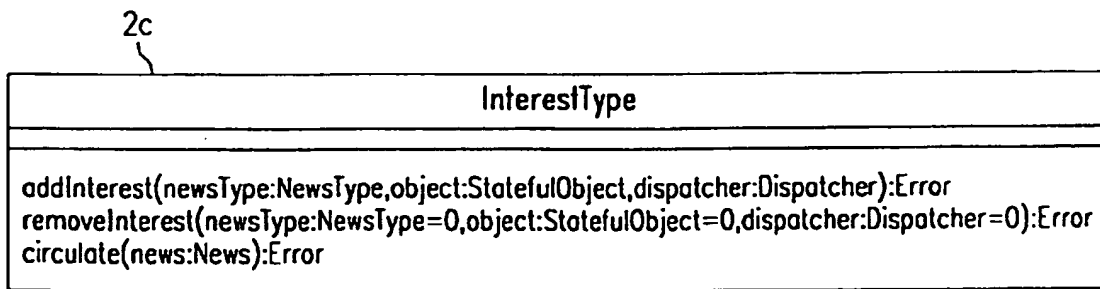


FIG. 17

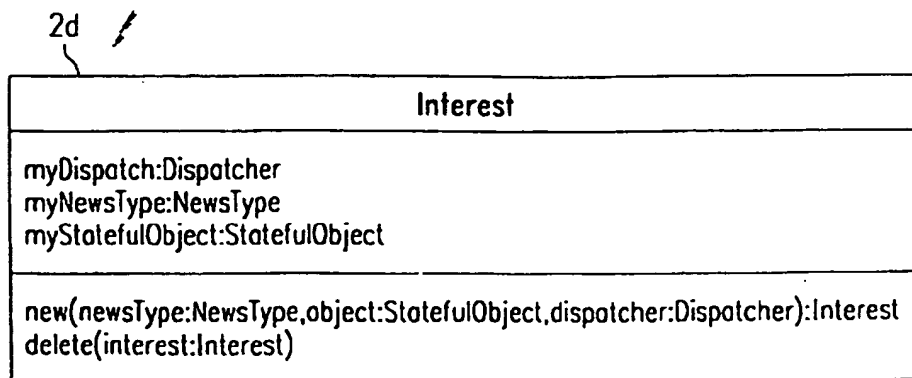


FIG. 18

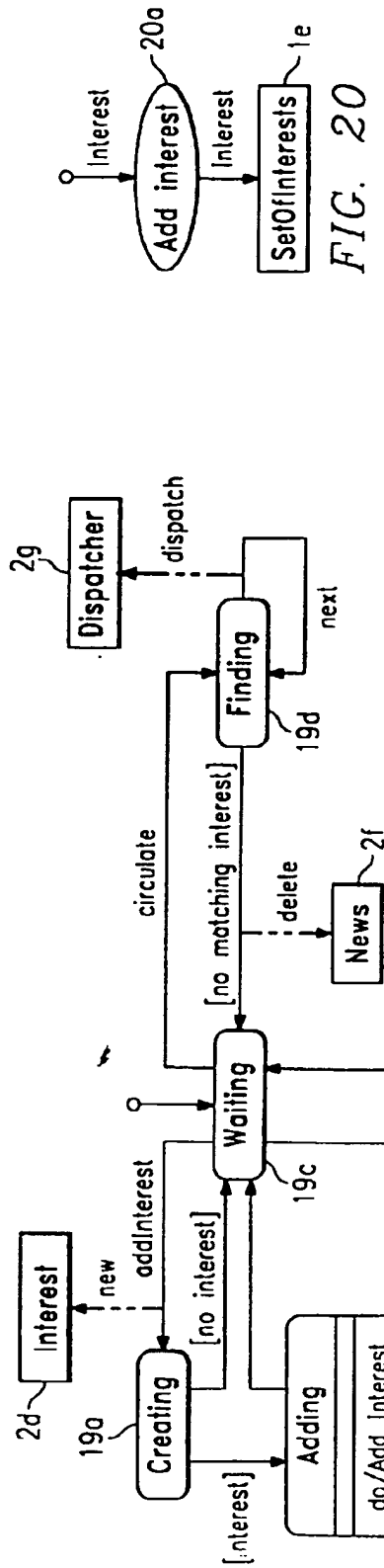


FIG. 19

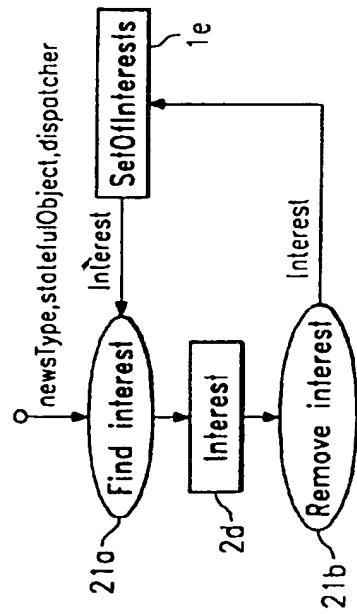


FIG. 20

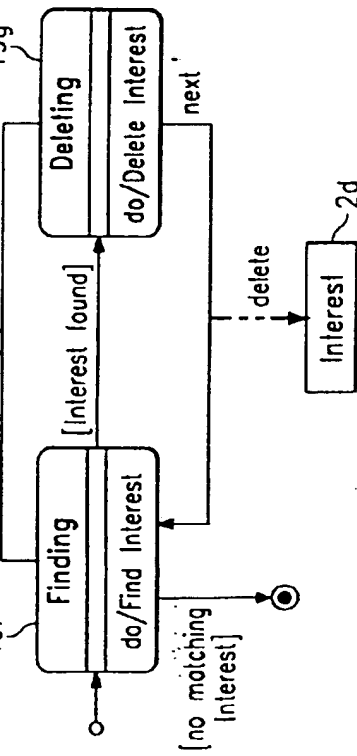


FIG. 21



European Patent  
Office

# EUROPEAN SEARCH REPORT

Application Number  
EP 95 30 8247

DOCUMENTS CONSIDERED TO BE RELEVANT			
Category	Citation of document with indication, where appropriate, of relevant passages	Relevant to claim	CLASSIFICATION OF THE APPLICATION (Int.Cl.6)
X	IBM: 'SOMObjects Base Toolkit Users Guide, Version 2.0 (2nd Edition)' January 1994, IBM, US * page 9-1, line 1 - page 9-8, last paragraph *	1-12	G06F9/46
X	IBM TECHNICAL DISCLOSURE BULLETIN, vol. 37, no. 6B, June 1994 NEW YORK, US, pages 553-555, XP 000456095 'Portable Object-Oriented Event Manager' * the whole document *	1-12	
X	PROCEEDINGS OF THE 13TH INTERNATIONAL CONFERENCE ON DISTRIBUTED COMPUTING SYSTEMS, 25 - 28 May 1993 PITTSBURGH, PENNSYLVANIA, USA, pages 386-390, XP 000399409 S. MENON ET AL.: 'Asynchronous Event Handling in Distributed Object-Based Systems' * page 383, right column, line 1 - page 389, right column, line 32 *	1-12	
A	IBM TECHNICAL DISCLOSURE BULLETIN, vol. 36, no. 4, April 1993 NEW YORK, US, pages 523-526, XP 000364604 'Event Notification Mechanism' * the whole document *	1-12	
The present search report has been drawn up for all claims			
Place of search <b>THE HAGUE</b>		Date of completion of the search <b>22 February 1996</b>	Examiner <b>Fonderson, A</b>
<p><b>CATEGORY OF CITED DOCUMENTS</b></p> <p>X : particularly relevant if taken alone Y : particularly relevant if combined with another document of the same category A : technological background O : non-written disclosure P : intermediate document</p> <p>T : theory or principle underlying the invention E : earlier patent document, but published on, or after the filing date D : document cited in the application L : document cited for other reasons A : member of the same patent family, corresponding document</p>			

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